

JFw #1

**PATENT**  
Attorney Docket No. ASA 02-4-2

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of : A.L. Pepper Aasgaard  
Serial Number : 10/719,748  
Filed : November 21, 2003  
Group Art Unit : 3723  
Examiner : David B. Thomas  
Title : RIVET SETTING DEVICE FOR SETTING SELF-TAPPING RIVETS

MS AMENDMENT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT  
BEFORE MAILING DATE OF EITHER A FINAL ACTION  
OR NOTICE OF ALLOWANCE (37 C.F.R. §1.97(c))**

**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8**

I hereby certify that this correspondence is being deposited with the United States Postal Service on July 12, 2004, in a First Class envelope, with sufficient postage thereon, addressed to: MS Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Michele D. Norine

Michele D. Norine

DATED: July 12, 2004

**Identification of Time of Filing the Accompanying  
Information Disclosure Statement**

The *Information Disclosure Statement* transmitted herewith is being filed after three months of the filing date of this national application or the date of entry of the national stage as set forth in §1.491 in an international application or after the mailing date of the first Office Action on the merits, whichever event occurred last but before the mailing date of either a final action under §1.113 or a notice of allowance under §1.311, whichever occurs first.

### **Method of Payment of Fees**

Accompanying this transmittal is the fee set forth in 37 C.F.R. §1.17(p) for submission of an information disclosure statement. Please charge the filing fee of \$180.00 to Deposit Account № 19-4882. Please charge any underpayments related to this *Transmittal* or credit any excess to Deposit Account № 19-4882. A duplicate copy of this *Transmittal* is enclosed for accounting purposes only.

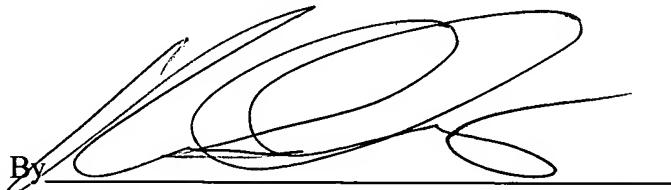
Please address all correspondence to: **CUSTOMER NO. 23531**

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14301 FNB Parkway, Suite 220  
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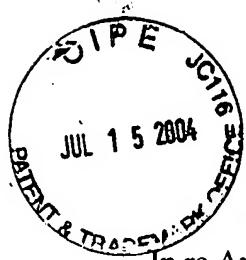
DATED: July 12, 2004.

Respectfully submitted,

A.L. Pepper Aasgaard,



By \_\_\_\_\_  
Kevin E. West  
Reg. № 43,983



UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:  
A.L. Pepper Aasgaard

: By the Examiner:  
David B. Thomas

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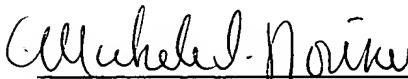
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Michele D. Norine

DATED: July 12, 2004

Dear Sir:

**INFORMATION DISCLOSURE STATEMENT**

Applicant submits herewith patents, publications or other information of which he is aware, which he believes may be material to the patentability of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 CFR 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 CFR 1.97(g) the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as

defined in 37 CFR 1.56(a) exists.

In accordance with 37 C.F.R. § 1.97(c)(1) & 37 C.F.R. § 1.97(e)(1) Applicant states: That each item of information contained in this information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this supplemental information disclosure statement.

The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 CFR 1.98(a)(1).

DATED: July 12, 2004

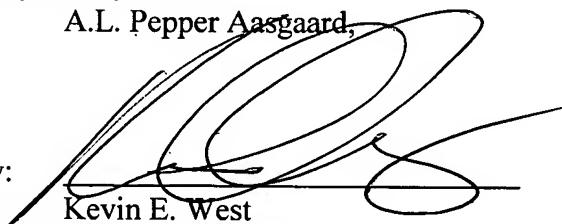
Respectfully submitted,

A.L. Pepper Aasgaard,

By:

Kevin E. West

Reg. No. 43,983

A handwritten signature consisting of several loops and curves, appearing to read "KEVIN E. WEST".

**CUSTOMER NO. 23531**

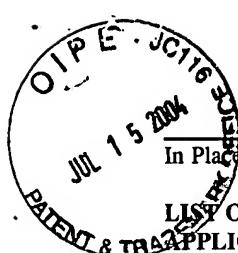
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In Place of FORM PTO-1449 (Modified)

Serial No.: 10/719,748  
 Applicant: A.L. Pepper Aasgaard  
 Filing Date: November 21, 2003  
 Group: 3723  
 Atty. Docket No.: ASA 02-4-2

**LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION DISCLOSURE  
STATEMENT**

Reference Designation

**U.S. PATENT DOCUMENTS**

Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
___ AAA	4,085,337	Apr. 18, 1978	Moeller	307	115	Oct. 7, 1975
___ ABA	4,559,806	Dec. 24, 1985	Schwab	72	391	Sep. 1, 1983
___ ACA	5,473,805	Dec. 12, 1995	Wille	29	243.526	Jan. 6, 1994
___ ADA	5,960,667	Oct. 5, 1999	Hylwa et al.	72	391.4	Dec. 23, 1997
___ AEA	6,684,470	Feb. 3, 2004	Joux	29	243.521	May 11, 1999
___ AFA						
___ AGA						
___ AHA						
___ AIA						
___ AJA						

**FOREIGN PATENT DOCUMENTS**

Examiner Initial	Document Number	Date	Country	Class	Subclass	Translation Yes      No
___ AKA						
___ ALA						
___ AMA						
___ ANA						
___ AOA						

**OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)**

Examiner Initial

\_\_\_ APA  
 \_\_\_ AQA  
 \_\_\_ ARA

Examiner:

Date Considered:

EXAMINER: Initial if reference considered, whether nor not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.